

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Chen et al.

**Application No.** 10/620,263

**Filed:** July 14, 2003

**Confirmation No.** 8750

**For:** MIXED LOSSLESS AUDIO  
COMPRESSION

**Examiner:** To be assigned

**Art Unit:** 2641

**Attorney Reference No.** 3382-65136

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney  
for Applicant(s)

Date Mailed March 12, 2004

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**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. § 1.97(b)**

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

If the present application was filed after June 30, 2003, copies of United States patents and United States published patent applications do not have to be provided to the Patent Office. This requirement of 37 C.F.R. § 1.98(a)(2)(i) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on August 5, 2003 (1276 OG 55). Applicants will provide copies of such patents upon request.

Applicants filed this Information Disclosure Statement ("IDS") within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in § 1.491 in an international application, before the mailing date of a first


Office action on the merits, or before the mailing of a first Office action after the filing of request for continued examination under § 1.114. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

<b>Attorney Docket Number</b>	3382-65136
<b>Application Number</b>	10/620,263
<b>Filing Date</b>	July 14, 2003
<b>First Named Inventor</b>	Chen
<b>Art Unit</b>	2641
<b>Examiner Name</b>	To be assigned

## OTHER DOCUMENTS

**Examiner's  
Initials\***

**Cite No.  
(optional)**

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

LIEBCHEN et al., "Lossless Transform Coding of Audio Signals", pp. 1-10, *Lossless to Transparent Coding IEEE Signal Processing Workshop, Presented at 102<sup>nd</sup> AES Convention, 1997, Munich*

**EXAMINER  
SIGNATURE:**

**DATE  
CONSIDERED:**

\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.